



0130
37E
3731

THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re Application of:

Richard S. Kusleika et al.

MAR X 7 2002

Serial No.: 09/936,248

TECHNOLOGY CENTER R3700

Examiner: Unknown

Filing Date: September 7, 2001

Group Art Unit: Unknown

For: MINIMALLY INVASIVE MEDICAL DEPLOYMENT AND RETRIEVAL SYSTEM

Docket No.: 19369/110/101

TRANSMITTAL SHEET

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

CERTIFICATE UNDER 37 C.F.R. 1.8: I hereby certify that this correspondence and the documents described herein are being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231, on this 24 day of January, 2002
By Melissa A. Abeldgaard
Melissa A. Abeldgaard

We are transmitting herewith the attached:

☐ Amendment

☐ No additional fee required

☐ The fee has been calculated as shown:

CLAIMS AS AMENDED							
	(3)	(4)	(5)	SMALL ENTITY		OTHER	
	REMAINING CLAIMS	HIGHEST PAID	EXTRA	RATE	ADD'L FEE	RATE	ADD'L FEE
TOTAL CLAIMS	-	=		x9=	\$	x18=	\$
INDEPENDENT CLAIMS	-	=		x42=	\$	x84=	\$
() FIRST MULTIPLE DEPENDENT CLAIM				+140=	\$	+280	\$
TOTAL				\$		\$	



- ☐ A check in the amount of \$ _____ is enclosed.
- ☐ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established.
- ☒ Other: Information Disclosure Statement; PTO-1449 Form; Copies of References cited.
- ☒ Please charge any deficiencies or credit any over payment in the enclosed fees to Deposit Account 14-0620.

By: Lawrence M. Nawrocki
Lawrence M. Nawrocki
Reg. No. 29,333

NAWROCKI, ROONEY & SIVERTSON, P.A.
Suite 401, Broadway Place East
3433 Broadway Street N.E.
Minneapolis, Minnesota 55413
Telephone: (612) 331-1464
Facsimile: (612) 331-2239

REC'D
MAR X
TECHNOLOGY C.

RECEIVED
MAR X 7 2002
TECHNOLOGY C.



P A T E N T

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#5
ARWens
3/31/02

In re Application of:

Richard S. Kusleika et al.

Serial No.: 09/936,248

Filed : September 7, 2001

For : MINIMALLY INVASIVE ~~TECHNOLOGY CENTER~~ MEDICAL DEPLOYMENT AND RETRIEVAL SYSTEM

Docket No.: 19369/110/101

RECEIVED

MAR X 7 2002

Examiner: Unknown

Group Art Unit: Unknown

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner
for Patents
Washington, D.C. 20231

CERTIFICATE UNDER 37 C.F.R. 1.8
I hereby certify that this correspondence is being deposited with the
United States Postal Service on the date shown below with sufficient
postage as first class mail in an enveloped addressed to the Assistant
Commissioner of Patents, Washington, D.C. 20231 on this 24 day of

January, 2002
By: Melissa A. Abeldgaard
Melissa A. Abeldgaard

Dear Sirs:

Pursuant to the obligations of candor and good faith imposed by 37
C.F.R 1.56, the documents listed on the attached PTO-1449 are hereby
disclosed.

No representation is intended to be made hereby that any of the cited
references establishes, by itself or in combination with other information,
a prima facie case of unpatentability of any claim of the present case.

Respectfully submitted,

Richard S. Kusleika et al.

By his attorney,

Dated:

January 24, 2002

Lawrence M. Nawrocki

Lawrence M. Nawrocki, Reg. No. 29,333
NAWROCKI, ROONEY & SIVERTSON, P.A.
Suite 401, Broadway Place East
3433 Broadway Street Northeast
Minneapolis, MN 55413
Telephone: (612) 331-1464
Facsimile: (612) 331-2239

FORM PTO-1449

Atty. Docket No.:
19369/110/101Serial No.:
09/936,248

LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant:

Richard S. Kusleika et al.

Filing Date

Group Art:

September 7, 2001

Unknown

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
AA	4,425,908	01/17/84	Simon	128	1 R	10/22/81
AB	4,619,246	10/28/86	Molgaard-Nielsen et al.	128	1 R	05/20/85
AC	4,832,055	05/23/89	Palestrant	128	899	07/08/88
AD	4,873,978	10/17/89	Ginsburg	128	345	12/04/87
AE	5,011,488	04/30/91	Ginsburg	606	159	08/20/90
AF	5,102,415	04/07/92	Guenther et al.	606	159	08/30/90
AG	5,662,671	09/02/97	Barbut et al.	606	170	07/17/96
AH						
AI						
AJ						
AK						

RECEIVED

MAR X 7 2002

TECHNOLOGY CENTER #3700

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
AL	De 28 21 048 B1	11/08/79	Germany	A61B	17/22	X
AM	WO 91/11209	08/08/91	PCT	A61M	5/00	X
AN	WO 96/01591	01/25/96	PCT	A61B	17/22, 17/12	X

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

AO	
AP	
AQ	

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.